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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/732,128	12/07/2000	Michael E. Gilliland	NORT-0081 (12964DMUS01U)	9613
	7590 12/22/2004		EXAMINER LIM, KRISNA	
Dan C. Hu TROP, PRUNER & HU, P.C. 8554 Katy Freeway, Ste. 100 Houston, TX 77024			ART UNIT 2153	PAPER NUMBER

DATE MAILED: 12/22/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/732,128

Applicant(s)

GILLELAND, MICHAEL E.

Examiner

Krisna Lim

Art Unit

2153

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-40 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on ____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. ____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____ |

Art Unit: 2153

1. Claims 1-40 are presented for examination.
2. The Abstract of the Disclosure is objected to because
 - (a) Abstract should be directed to the entire disclosure.
 - (b) Abstract should set forth a process for making and/or the use thereof are not obvious. See M.P.E.P. 608.01(b).
3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
4. Claims 1-40 are rejected under 35 U.S.C. 102 (e) as being anticipated by Holden [6,771,639].
5. Holden disclosed (e.g., see Figs. 1-7) the invention substantially as claimed. Taking claim 1 as an exemplary claim, the reference disclosed a method (Fig. 7) comprising:
 - a) receiving a call request from an entity to establish an interactive call session (304 of Fig. 4, 602 of Fig. 7, col. 1, lines 8-9);
 - b) receiving information associated with the entity (304 at SIP System #2 of Fig. 3, 608 of Fig. 7); and
 - c) providing the information in the call request (304 of Fig. 3, 612 of Fig. 7).
6. As to claims 2-3, Holden disclosed receiving the information from a storage device (a database stored in the storage device is inherent in SIP System #1 of Fig. 4).

7. As to claim 4, Holden disclosed receiving the informaton using structured query language message (e.g., see SIP message 200 of Fig. 3, col. 6, line 54).
8. As to claim 5, Holden disclosed adding information in a body portion of the call request (e.g., see 204 of Fig. 3, col. 7, line 11).
9. As to claim 6, Holden disclosed using at least one of a Session Initiation Protocol control gateway interface and Session Initiation Protocol servet (e.g., see the abstract, SIP system, col. 6, line 54, to col. 8, line 20).
10. As to claims 7-9, Holden disclosed the information comprising updating a content-type field to a multipart/mixed type (e.g., see 206, 208, 210 of Fig. 3, col. 6, line 54, to col. 7, line 35) of the call request in response to adding the information to body portion of the call request (204 of Fig. 3, col. 6, line 54, to col. 7, line 35).
11. As to claim 10, Holden disclosed the information comprising forwarding the call request to a presentation device (e.g., 518 of Fig. 6, col. 9, lines 23-28).
12. As to claim 11, Holden disclosed the information as Multipurpose Internet Mail Extensions type (type of cover media in message of Fig. 6, col. 9, lines 10-28).
13. As to claim 12, Holden disclosed the call request having portions according to one or more of format selected from the group consisting of a Session Decription Protocol, an audio format, a video format, a web page format and an electronic mail format (e.g., see type of platform of Fig. 6, col. 9, lines 10-28).

14. As to claim 13, Holden disclosed receiving a Session Initiation Protocol message (e.g., see the abstract, SIP message 200, col. 2 (lines 54-57), col. 6, lines 54 et-seq.).
15. As to claim 14, Holden disclosed receiving an Invite request (e.g., see col. 4, lines 44-59).
16. As to claim 15, Holden disclosed receiving a call request to establish a real-time, interactive call session between the calling entity and the called party (e.g., see col. 1, line 50, col. 4, lines 18-33).
17. As to claim 16, Holden disclosed determining a type of the information (e.g., see col. 9 (lines 16-28)) and initiating a corresponding application to process the information.
18. As to claim 17, Holden disclosed a web browser application (e.g., see col. 8, line 63, to col. 9, line 5).
19. As to claim 18, Holden disclosed the information is in a Multipurpose Internet Mail Extensions format selected from a group consisting of Java Enhanced Session Initiation Protocol (e.g., see SIP protocol) Hyper Text Markup Language, and Extensible Markup Language (e.g., see col. 8, line 65, to col. 9, line 5).
20. As to claims 19-40, they are similar to the claims 1-18 with the additional feature of look up the calling party information from a storage unit (the announcement information may be retrieved from a remote location by the called entity, col. 5, lines 59, to col. 6, line 4).

Art Unit: 2153

21. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

The references are cited in the Form PTO-892 for the applicant's review.

A shortened statutory period for response to this action is set to expire 3 (three) months and 0 (zero) days from the mail date of this letter. Failure to respond within the period for response will result in **ABANDONMENT** of the application (see 35 U.S.C 133, M.P.E.P 710.02, 710.02(b)).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Krisna Lim whose telephone number is 571-272-3956. The examiner can normally be reached on Monday to Wednesday and Friday from 7:30 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Glenton Burgess, can be reached on 571-272-3949. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

KI

December 8, 2004



KRISNA LIM
PRIMARY EXAMINER